

Joint Account Application Form

If you require any assistance with your account application please contact MEX Customer Support on +971 4 5681605. Alternatively, you can email cs@mex.ae.



Account Details

Account Type: Trading
 Introducing Broker
 Money Manager

Is Application for Self-Managed Super Fund or Trust? Yes No

Trading Account Type: Classic Account ECN Account

Base Currency: AUD USD EUR GBP

Leverage: 500 200 100 50 25

Expected Initial Deposit (AUD Equivalent):

Source of Funds for Initial Deposit: Salary Dividends
 Savings Superfund
 Investments Inheritance/Gift
 Property Sale

Will you be using Expert Advisors to Trade? Yes No

Introducer Code, if applicable:

Primary Applicant Details

Title: Mr. Ms. Mrs. Dr. Other

Given Name:

Surname:

Date of Birth:
DAY MONTH YEAR

Street Address:

City:

State:

Postcode: Country:

Have you resided at the above address for the last 5 years?

If less than 5 years at current address, please provide details of previous address in the last 5 years:

Street Address:

City:

State:

Postcode: Country:

What is your Country of Residence for Tax Purposes?

Tax Identification Number (TIN):

Phone Number:

Email Address:

Primary Applicant Employment Details

- Employment Status:
- Employed
 - Self-Employed
 - Retired
 - Unemployed

- Source of Income:
- Salary
 - Savings
 - Investments
 - Property Sale
 - Dividends
 - Superfund
 - Inheritance/Gift

Occupation:

Industry Sector:

- Annual Income: 0-14,999
(AUD Equivalent) 15,000-59,000
 60,000-99,000
 100,000-149,000
 150,000+
- Net Value of Savings 0-999
& Investments: 1,000-14,999
(AUD Equivalent) 15,000-25,000
 25,000-75,000
 75,000-150,000
 150,000+

Primary Applicant Investment Experience & Understanding

Margin is an amount of capital required to open a trade (percentage of your exposure)...*

- True False

When I trade Leveraged Financial Derivative Products, I DO NOT own the underlying asset...*

- True False

Trading leveraged products is risky...*

- True False

Financial markets experience volatility (large and sudden price movements)...*

- True False

Do you have any experience or qualifications which would assist your understanding of our products & services?*

- Both experience and qualifications
 Working in a financial institution in a relevant role
 A relevant professional qualification and/or education
 Seminar/short course
 None

What is the intended purpose for trading OTC Derivatives?*

- To diversify my investment portfolio
- To speculate on the markets
- To hedge my risks
- To get guaranteed returns on my investment

Over the last 3 years, how often have you traded the following products?**Bonds and shares***

- 40+ times
- 30-39 times
- 20-29 times
- 10-19 times
- Under 10 times
- None

Exchange Traded Derivatives (Warrants, Futures, Options and includes Forex)*

- 40+ times
- 30-39 times
- 20-29 times
- 10-19 times
- Under 10 times
- None

OTC Derivatives Leveraged Financial Derivative Products/Spread Bets and Includes Forex*

- 40+ times
- 30-39 times
- 20-29 times
- 10-19 times
- Under 10 times
- None



How have you mainly traded these instruments?

Bonds and shares*

- Using managed funds
- On my own without advice
- On my own with advice
- None

Exchange Traded Derivatives (Warrants, Futures, Options and includes Forex)*

- Using managed funds
- On my own without advice
- On my own with advice
- None

OTC Derivative Leveraged Financial Derivative Products and Includes Forex*

- Using managed funds
- On my own without advice
- On my own with advice
- None

Do you understand the nature and risks of margined (or geared) transaction?*

- Yes
- No

Secondary Applicant Details

If applicable, please complete the following:

Title: Mr. Ms. Mrs. Dr. Other

Given Name:

Surname:

Date of Birth:
DAY MONTH YEAR

Street Address:

City:

State:

Postcode: Country:

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State:

Postcode: Country:

What is your Country of Residence for Tax Purposes?

Tax Identification Number (TIN):

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- Employment Status:
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Occupation:

Industry Sector:

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(AUD Equivalent) 15,000-59,000
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 150,000+
- Net Value of Savings & Investments:** 0-999
(AUD Equivalent) 1,000-14,999
 15,000-25,000
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 150,000+

Secondary Applicant Investment Experience & Understanding

Margin is an amount of capital required to open a trade (percentage of your exposure)...*

- True False

When I trade Leveraged Financial Derivative Products, I DO NOT own the underlying asset...*

- True False

Trading leveraged products is risky...*

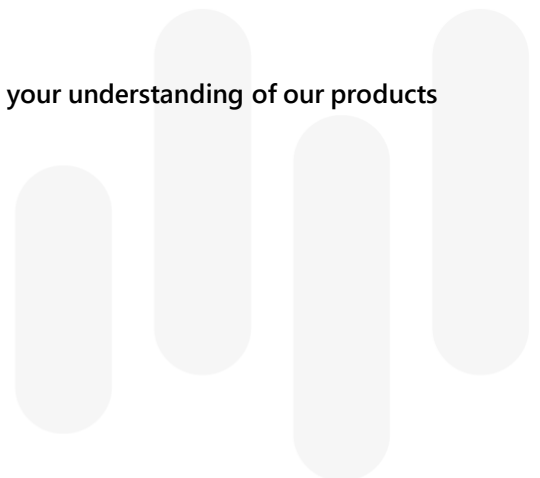
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Do you understand the nature and risks of margined (or geared) transaction?

- Yes No

Client Acknowledgement and Declaration

This Application Form is use for by persons who wish to use our services to facilitate dealing in Margin Contracts, Leveraged Financial Derivative Products and Options. Subject to you being accepted as a client of MEX Global Financial Services, you agree to accept the terms and conditions stated in the Client Agreement (CA), Product Disclosure Statement (PDS), Financial Services Guide (FSG) and any other supplementary documents as incorporated by reference.

You acknowledged that you have read and understood the CA, PDS, FSG and all its annexures, confirmations and any other supplementary documents and accepted and agreed to abide by these terms and conditions. You also agreed that the company may vary, alter and amend any of the terms and conditions in its sole discretion from time to time. If this is an online application, you have downloaded, read and understood the electronic versions of these documents or received, read and understood the paper copies of these documents.

You are not an undischarged bankrupt or of unsound mind. You declared that the information given in this application is true and correct and have not withheld any facts. You undertake to keep the Company informed of any changes in your particulars given in this application.

You hereby agree and authorize the Company to verify and disclose any information given by you with any sources that the Company may in its sole discretion deem appropriate, having regard to your credit and our compliance with anti-money laundering and counter-terrorism financing laws. Should a need arise, you also agree to the Company obtaining from a credit reporting agency a consumer and/or commercial credit report about you in connection with this application.

You irrevocably consent to the disclosure by the Company of information about you with any appropriate sources including; (i) any related body corporate of the Company whether they are located in United Arab Emirates or in a foreign jurisdiction; (ii) any employees, agents, contractor or other service providers of the Company including credit reference agencies and any entity involved in any restructure or transfer of our business.

You are aware and understand that MEX Global Financial Services is authorized and regulated by the Securities & Commodities Authority, holder of an SCA License No. 20200000045, nonetheless it is not an Authorized Deposit-taking Institution.

You accepted and agreed that the Company, or the people or organization the Company disclosed your information to, may use your information to (i) provide you with further information targeted to your interests or to otherwise enhance any service or product provided by us to you; (ii) either conduct market research or to contact you as provided for under the Client Agreement; (iii) comply with any regulatory or legal disclosure requirements, including fulfilling our obligations under the Anti-Money Laundering and Counter-Terrorism. Financing Act 2006; (iv) obtain a consumer credit report about you, and/or allow the credit reporting agency to create or maintain a credit information file containing information about you as permitted by the Privacy Act 1988; (v) assess your application for credit under the Client Agreement.

You are (i) the ultimate beneficial owner(s) of this Account and that no person other than yourself have or will have any interest in, influence or control over this account whatsoever; ii) All monies which will be paid to the Company originates from a legitimate source; (iii) the proceeds of your transactions will not be used to finance any illegal activities.

You agree to provide certified copy of identification documentation to us if required by us, and in accordance with the terms of the Client Agreement. Also, you agree that the persons that you have nominated as authorized persons are duly authorized to deal on your account with MEX Global Financial Services.

I/We confirm that I/we have heard/read and understand this Client Agreement, Risk Disclosure Statement, Electronic Trading Terms and that the trading terms and services used in it have been explained to me/us fully by the giver of this statement.

By signing this agreement the client/s agrees to be legally bound:

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Primary Applicant Signature

.....

Date

.....

Primary Applicant Print Name

.....

Secondary Applicant Signature

.....

Date

.....

Secondary Applicant Print Name

